

Resources

Listed below is an example of our networked senior consultants offering consultancy or interim management in the following disciplines:

- Corporate Governance
- Internal Audit
- Regulatory Compliance
- Business Continuity
- Strategic Risk
- Credit Risk

Corporate Governance:

Qualified accountant (with over 10 years PQE) specialising in governance having run audit departments, performed Sarbanes-Oxley preparation and assessment and business risk management. Excellent interpersonal skills including the ability to negotiate the implementation of operational change and improvement providing pragmatic challenge to commercial plans. The most recent assignment was Interim Head of Quality and Risk providing risk and compliance advice to senior management and introducing enhanced risk based monitoring and quality control methodology.

Internal Audit:

A qualified accountant, with more than 20 years financial services experience, specialising in Internal Audit having been Head of Internal Audit for a major financial services organisation. An excellent and influential communicator at board level the most recent assignment was to provide interim leadership to the audit department and to implement process controls where appropriate.

Regulatory Compliance

A very experienced senior manager in the UK retail financial services market, with an outstanding track record of deriving commercial value from a customer oriented approach to regulatory compliance, training and competence, and process engineering. Most recent assignment has involved the design authority for the creation and roll out of the customer centric process engineering programme for major financial institution.

An experienced Compliance Officer, Training & Competence (T&C) Officer and Money Laundering Officer, with Business Review work including: Risk & Compliance on and off shore, Pension, FSAVC, Mortgage Endowment, Complaint Handling, Business & Investment Reviews, Sarbanes-Oxley and Systems and Controls. Results orientated, pro-active, and able to carry out planned strategy "Hands-On." Capable of performing competently and efficiently either as a Compliance Officer, Project Manager, Team Leader, or individually using own initiative as appropriate.

- Business Continuity** MBCI qualified Business Continuity specialist with excellent knowledge of FSA regulations and business continuity with 10 years experience delivering full life cycle business continuity and disaster recovery solutions. Focused on delivery the most recent assignment as Interim Head of Group Business Continuity has been the development and implementation of full Business Recovery solution including the provision of an operating work area recovery solution.
- Strategic Risk** An Interim Executive with 30 years' business experience whose key skills include the ability to integrate strategy with tactics, taking a customer perspective, change management and developing people whilst focusing on results. Most recent assignment was as risk manager for a niche mortgage lender developing team that managed all risk disciplines and reporting to the Chief Executive. Provided leadership and direction to a demoralised team.
- Credit Risk** Experienced Credit Risk manager with over 20 years senior financial services experience. Most recently created a new credit risk team and developed credit risk strategy to allow client to establish itself as the leading provider of credit products to high networth individuals and corporates and individuals in off-shore markets. Member of the Association of Professional Compliance Consultants (APCC), and an Associate of the Compliance Institute (ACOI).

If you would like to learn more about the resources at our disposal please contact us via the website or call us on 01376 562957.

Resources for Consultancy and Interim Management Roles:

Through our in-depth understanding of Enterprise Risk Management, we have developed relationships with a wide variety of clients in the Financial Services sector covering positions from Analysts to Senior Directors.

We can assist in placing individuals experienced in Business Continuity, Disaster Recovery, Information/Corporate Security, Risk Management, Compliance and Audit in consultancy and interim management roles.

If you are interested in joining our expanding network of contractors, please email your CV with covering letter to l.carter@cgas.co.